

# APPLICATION FOR UNITED STATES PATENT

## Declaration for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: 1 HETEROJUNCTION FIELD EFFECT TRANSISTOR AND MANUFACTURING METHOD THEREOF the specification of which (check at least one) 3 [ X ] is attached hereto 2 (file no. \_\_\_\_\_)

4 [ ] was filed on \_\_\_\_\_ as (5) U.S. Application Serial No. \_\_\_\_\_  
6 [ ] and was amended on \_\_\_\_\_

Use this portion only if you are entering the U.S. National phase based on a PCT International Application designating the U.S.	7 [ ]	was filed as PCT international application	(if applicable)
	8	Number _____	
	9	on _____	
	10	and was amended under PCT Article(s) 19 and/or 34	
		on _____	(if applicable).

I hereby declare that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended, by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 or 365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date earlier than that of the application(s) on which priority is claimed.

Prior (Foreign) Application(s) and Priority Claims Under 35 U.S.C. 119 or 365 Priority Claimed

11a	<u>JAPAN</u>	<u>2002-309692</u>	<u>24/ 10/ 2002</u>	<u>[ X ] [ ]</u>
	(Country)	(Number)	(Day/Month/Year Filed)	Yes No
	<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>[ ] [ ]</u>
	(Country)	(Number)	(Day/Month/Year Filed)	Yes No
	<u>_____</u>	<u>_____</u>	<u>_____</u>	<u>[ ] [ ]</u>
	(Country)	(Number)	(Day/Month/Year Filed)	Yes No

[ ] Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

Priority Claim(s) from U.S. Provisional Application(s) - I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

11b	<u>Application No. _____</u>	<u>Day/Month/Year Filed _____</u>	<u>Application No. _____</u>	<u>Day/Month/Year Filed _____</u>
	<u>[ ]</u> Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.			

Do not use this portion to identify a PCT application if the parent application is the U.S. National phase of the PCT application	<p>I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) or under Title 35, United States Code, 365 of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between filing date of the</p>
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# INSTRUCTIONS FOR COMPLETION OF THIS FORM

line 1 Insert the same title as is used on the specification and in the assignment.

line 2 Is optional but is provided so that you can use it to identify more readily an application prior to the time that the Patent Office application serial number is assigned. We suggest that the specification, drawings and declaration always bear a file number since it can help to get the papers together in case they become inadvertently separated. In instances where the specification is filed without a signed declaration form (under 37 CFR § 1.53) a file number on a later-received separate form will assist us in associating it with the correct case.

line 3 Check this box if the specification, claims and drawing (if any) are attached to this declaration form, e.g., when filing a new patent application.

lines 4-5 Are only used in an instance where the application is already on file and the declaration from is being separately filed, e.g., when the application was originally filed without a signed declaration or where the Patent Office has required a new declaration because of a deficiency in the original declaration. In such an instance the Patent Office will require that lines 4 and 5 be completed with the filing date and application serial number already assigned.

line 6 Is used in conjunction with line 5 but only when there have been one or more amendments to the specification or claims. Line 6 is also used when the Examiner requires a new declaration because claims inserted by amendment cover subject matter not originally claimed (37 CFR § 1.67).

lines 7-10 Are for PCT (Patent Cooperation Treaty) cases and are used only when you are entering the U.S. National phase (Chapter I or II) based upon a previously filed PCT International application designating the U.S.

line 7 Check this box if this is a PCT National Phase application.

line 8 Insert PCT International application number.

line 9 Insert date of filing of PCT International application.

line 10 Insert the date of all amendments filed in the PCT International application. Such amendments are optional, so this line at times will not be used.

line 11a Is used in the following instances:

- (i) If a single priority is being claimed from a foreign application you need to list only the first-filed application; you do not need to list other countries if all applications were filed within one year of the U.S. filing.
- (ii) If multiple priorities are being claimed, from a plurality of applications filed in one or more countries, you must list the first filed application for each aspect of the invention. Example: if aspect A of the invention was disclosed in an application filed 11 months earlier in country X and aspect B was disclosed 9 months earlier in an application filed in country Y, then the applications in both countries X and Y must be identified. Only the first application for each aspect of the invention needs to be identified provided all applications on that aspect were filed within one year prior to the U.S. filing.
- (iii) If a non-priority application is being filed you must list all applications in all countries where corresponding foreign applications were filed more than one year prior to the U.S. filing. This is so the Examiner can check to see if any of those applications were published or patented early enough to be prior art against the U.S. application.
- (iv) If there are more than two applications to be listed we suggest that you type in on this form only "See attached Schedule A" and then list all of the previous applications on an attached sheet.

line 11b Is used to claim priority under 35 USC § 119(e) based on a provisional application filed within one year of the filing of the instant application. More than one provisional application may be identified provided neither was filed more than one year earlier.

line 12 This block is used only in instances where there is a previously filed U.S. non-provisional application which was copending at the time the present application was (or is being) filed. That previous application could be a U.S. non-provisional application or the National Phase of a PCT allocation. In such a case the present application may be entitled to the priority of the previous application's U.S. filing date (and consequently the foreign priority thereof) provided the present application is identified as a continuing application (continuation, divisional or continuation-in-part) of the earlier (parent) application. If the foregoing is applicable, please fill in one line for each such prior application.

line 13 Type the inventor's proper legal name in the order specified, e.g., "John B. JONES" or "J. Bob JONES" if the inventor so prefers. It is not acceptable to use only initials such as "J. B. JONES."

line 14 The inventor's "signature" may be his (or her) usual manner of signing but it is preferable that the inventor simply write his (or

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

## PAGE 2 OF U.S.A. DECLARATION FORM

13a Typewritten Full Name of Sole or First Inventor  
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 Given Name Middle Name Family Name

14a Inventor's Signature ☒ Akiyoshi Tamura

15a Date of Signature ☒ July 4 2003  
 Month Day Year

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13c Typewritten Full Name of Sole or Third Inventor  
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14c Inventor's Signature ☒ Yoshiaki Kato

15c Date of Signature ☒ July 4 2003  
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